

DISTRICT COURT, DENVER COUNTY, COLORADO		DATE FILED: August 8, 2014 4:21 PM CASE NUMBER: 2014CV33137  <b>⚠ COURT USE ONLY ⚠</b>
Court Address: 1437 Bannock Street, Rm 256, Denver, CO, 80202		
<b>Plaintiff(s)</b> GERALD ROME v. <b>Defendant(s)</b> KEVIN TENNANT et al.		
		Case Number: 2014CV33137 Division: 280      Courtroom:
<b>Order of Permanent Injunction and Other Relief</b>		

The motion/proposed order attached hereto: SO ORDERED.

Issue Date: 8/8/2014



CATHERINE A LEMON  
District Court Judge

<p>DISTRICT COURT, DENVER COUNTY, COLORADO</p> <p>1437 Bannock Street Denver, CO 80202</p> <p>GERALD ROME, Securities Commissioner for the State of Colorado,</p> <p>Plaintiff,</p> <p>v.</p> <p>KEVIN TENNANT and HULEN HOLDINGS, INC.,</p> <p>Defendants.</p>	<p>▲ COURT USE ONLY ▲</p>
<p><b>BY THE COURT</b></p>	<p>Case No.:</p> <p>Courtroom:</p>
<p><b>ORDER OF PERMANENT INJUNCTION AND OTHER RELIEF</b></p>	

THIS MATTER is before the Court on the Stipulation for Order of Permanent Injunction and Other Relief (“Stipulation”) filed by the Plaintiff, Gerald Rome, Securities Commissioner for the State of Colorado (“Commissioner”) and Kevin Tennant (“Tennant”) and Hulen Holdings, Inc. (“Hulen”) (collectively, Tennant and Hulen are referred to as “Defendants”). Based on the Stipulation:

**IT IS HEREBY ORDERED AS FOLLOWS:**

1. The Court has jurisdiction over the Defendants, and the subject matter of this action.

2. Defendants, their agents, servants and employees; any person who, directly or indirectly, through one or more intermediaries, is controlled by, or is under the common control of the Defendants; and all of those in active concert or participation with Defendants, who receive actual notice of this Order by personal service or otherwise, are hereby immediately and permanently restrained and enjoined from engaging, directly or indirectly in any of the following acts:

a. Associating in any capacity with any broker-dealer, sales representative, promoter, issuer, financial planner, investment adviser, or investment adviser representative, engaged in business in Colorado, or any individual or entity engaged in the offer, purchase, or sale of securities in or from Colorado. For the purposes of this Order, “associating in any capacity” shall mean acting as a broker-dealer, sales representative, promoter, issuer, financial planner, investment adviser, investment adviser representative (or occupying a similar status or performing similar functions), or directly or indirectly controlling, acting as agent for, or exercising common control of a broker-dealer; sales representative, promoter, issuer, financial planner, or investment adviser, or any employee of a broker-dealer, sales representative, promoter, issuer, financial planner, or investment adviser.

b. In the event the terms of paragraph 2(a) are modified or vacated by an order of the Court after the date of this Order, or in the event that the Defendants’ conduct is not otherwise proscribed by the terms of paragraph 2(a), the following shall nonetheless be prohibited concerning Defendants and those persons identified in paragraph 2(a), above:

1) Offering to sell or selling any securities in the State of Colorado, unless such securities are registered pursuant to sections 11-51-302, 303, or 304, C.R.S., or successor statutes, or exempt from registration pursuant to sections 11-51-307, 308, or 309, C.R.S., or successor statutes; or

2) Engaging in business in the State of Colorado as a securities broker-dealer, sales representative, investment adviser, or investment adviser representative, as may be, in violation of sections 11-51-401 and 402, C.R.S., or successor statutes; or

3. In connection with the offer, sale, or purchase of any security in the State of Colorado, directly or indirectly:

i) employing any device, scheme, or artifice to defraud; or

ii) making any untrue statement of material fact or omitting to state a material fact necessary in order to make the statements made, in light of the circumstances under which they are made, not misleading; or

iii) engaging in any act, practice, or course of business which operates or would operate as a fraud and deceit upon any person;

in violation of section 11-51-501(1), C.R.S. or successor statute.

3. Judgment is entered in favor of the Commissioner and against Defendants in the amount of \$36,650.00 for which let execution issue. Interest shall accrue at the statutory rate of 8% from the date of this judgment until paid in full. This judgment is intended to be within the scope of judgments deemed exempt from discharge in bankruptcy pursuant to 11 U.S.C. § 523(a)(19).

4. Defendants shall comply with the provisions of the Stipulation attached hereto, and such Stipulation shall be incorporated into and made a part of this Order as if fully stated herein.

5. The Court shall retain jurisdiction over this action to ensure Defendants' compliance with this Order and the Stipulation, and reserves the power to enter additional orders to effectuate and to ensure Defendants' compliance with this Order and the Stipulation.

6. Defendants' failure to comply with this Order or the Stipulation may constitute grounds for further sanctions against them, including the sanction of contempt.

DATED: \_\_\_\_\_

BY THE COURT:

\_\_\_\_\_  
Denver District Court Judge

Attachment to Order - 2014CV33137